



# ATLAS

## Active Trader Tax Lot Accounting System

### TAX LOT ACCOUNTING

- Accommodates High Transactional Volumes
- Overnight Batch Processing

### REGULATORY COMPLIANCE

- Reconciliation to Books and Records
- Wash Sale

### PROFIT / LOSS OPTIMIZATION

- FIFO
- LIFO
- Optimized Specific Identification
- Average Cost

### REPORTING

- Trade Date and Settlement Date Reporting
- Tax Lot Report
- Realized Gain and Loss
- Trading Account Summary

### IS YOUR COMPANY PREPARED TO REPORT BASIS INFORMATION TO THE IRS?

Davidsohn Global Technologies, Inc.® ATLAS® product helps firms comply with mandates of the new legislation. It provides reconciliation to books and record systems, identifies and adjusts basis for wash sale transactions, optimizes trading gains and losses, and reports on both a trade date and settlement date basis.

Due to the Emergency Economic Stabilization Act that was signed on October 3, 2008, there will be more stringent requirements on financial intermediaries such as issuers, transfer agents, brokers, banks and mutual funds, to report customers' basis in securities transactions. Legislation will be implemented in three stages:

- Equity stock acquired on or after January 1, 2011
- Mutual funds and dividend reinvestment plans (DRIP) shares acquired on or after January 1, 2012
- Other security types such as debt issues, options and private placements acquired on or after January 1, 2013

## PRODUCT FEATURES

### Tax Lot Accounting

- Accommodates high transactional volumes
- Automatic cost basis allocations
- Breakdown of both long and short term positions by tax lot
- Breakdown of open and closed tax lots
- Gain / loss posting
- Multiple levels of account detail (lot, asset, summary totals)
- Supports clients' specific Type of Entry codes

### Profit / Loss Optimization

- For closing transactions, account settings can be configured to optimize profits or losses.
- FIFO - First In First Out
- LIFO - Last In First Out
- STGL - Short Term Greatest Loss
- STGP - Short Term Greatest Profit
- LTGP - Long Term Greatest Profit
- LTGL - Long Term Greatest Loss
- Specific Identification
- Average Cost

### Regulatory and Compliance

- Regulatory compliance
- Reconciliation reporting is provided to ensure the Tax Lot Accounting System information matches that of the core books and records
- Identification and basis adjustments on Wash Sales

### Reporting

- Trade date and settlement date reporting
- Tax Lot Report
- Realized Gain and Loss (Schedule D)
- Trading Account Summary
- Detail Listing
- Cancellation Report
- Pricing Exceptions
- Dividend Extension Report
- Balances and Holders Comparison
- Edit Listing
- Option Apply Premium Report

# ATLAS™

## Active Trader Tax Lot Accounting System

### PRODUCT FEATURE

(continued)

#### CORPORATE ACTIONS

- Mergers and Acquisitions
- Stock Splits

#### TECHNICAL FEATURES

- Interfaces with Downstream Books and Records Systems
- Open Database
- Data Export to Popular Tax Software
- Available as Davidsohn Software-On-Demand™

#### Financial Instruments Supported

- Equities (Domestic, Foreign, ADR's)
- Options (Equity / Index / Currency)
- Corporate Bonds (Convertible / Non-Convertible)
- Government Bonds
- Mutual Funds
- Exchange Traded Funds
- Municipal Bonds
- Unit Investment Trusts
- Real Estate Investment Trusts
- Limited Partnerships

#### Corporate Action & Debt Related Event Support

- Automated cost basis allocation for:
  - Income Distributions
  - Forward Stock Splits
  - Reverse Splits
  - Mergers and Acquisitions
  - Return of Capital
  - Return of Principal
  - Liquidations
  - Redemptions

#### Additional Product Features

- Integration with Monthly Statement Reporting
- Integration with 1099 Reporting
- Ability to show messages by security e.g. versus purchase for specific identification purposes as required to keep as evidence for tax records

#### Income Cash Flow

- Multiple income periods (period to date, month to date, quarterly, annual, and inception to date)
- Position and lot level income cash flow including estimated annual income and pending cash and stock dividends on ex-date
- Ability to report M-T-D and Y-T-D income and expense totals within an account for: State Tax, Dividends, Bond Interest, Interest Charges, Commissions and other account expenses

#### Technical Features

- Ability to interface with books and records systems
- Open database for custom query, report writing and data export
- Export to popular tax software and downstream books and records systems
- Ability to import tax lots from other brokerage firms on ACAT positions through the DTCC Cost Basis Reporting System (CBRS)
- Available as Davidsohn Software-On-Demand™

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#### For Additional Product Information:

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